

Higer Categories from Type Theories (bis)

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Setting

(Martin-Löf) Dependent Type Theory: highly expressive constructive theory, potential foundation for maths.

Central concepts: *types*, and *terms of types*.

$$\vdash \mathbb{N} \text{ type} \quad \vdash 0 : \mathbb{N}$$

Both can be *dependent* on (typed) variables:

$$n : \mathbb{N} \vdash \mathbb{R}^n \text{ type}$$

“For each n in \mathbb{N} , \mathbb{R}^n is a type,” or “ \mathbb{R}^n is a type, dependent on $n : \mathbb{N}$.”

$$n : \mathbb{N} \vdash \mathbf{0}_n : \mathbb{R}^n$$

“For each n in \mathbb{N} , $\mathbf{0}_n$ is an element of \mathbb{R}^n .”

$$\vdash \mathbf{0} : \prod_n \mathbb{R}^n$$

Identity types

Logic: via Curry-Howard, predicates as dependent types.

Predicate of equality, identity:

$$x, y : A \vdash \text{Id}_A(x, y) \text{ type}$$

Can derive e.g. “transitivity of equality”,

$$x, y, z : A, u : \text{Id}(x, y), v : \text{Id}(y, z) \vdash c(u, v) : \text{Id}(x, z)$$

“functions respect equality”,

$$\frac{x : A \vdash f(x) : B}{x, y : A, u : \text{Id}(x, y) \vdash f^*u : \text{Id}(f(x), f(y))}$$

and much more...

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Question: How much more?

Higher Categories from Types

Two subtleties:

- ▶ Identity types may be non-trivial types: not all identity proofs equal.
- ▶ Identity types have higher identity types in turn:

$$x, y : A, u, v : \text{Id}_A(x, y) \vdash \text{Id}_{\text{Id}_A(x, y)}(u, v).$$

Compositions of propositional equalities over a single type:

Theorem (Garner-van den Berg, PLL)

For any DTT \mathbf{T} with Id-types, and any type A of \mathbf{T} , A and its tower of identity types form an internal ω -groupoid in \mathbf{T} .

(All ω -categories: weak, globular operadic à la Batanin/Leinster.)

Higher Categories from Type Theories

Across all types of a theory?

Definition

Given \mathbf{T} , define globular set $\mathcal{C}_\omega^{\text{ty}}(\mathbf{T})$ by:

- ▶ 0-cells: closed types $\vdash A$ type;
- ▶ 1-cells: terms $x : A \vdash f(x) : B$;
- ▶ 2-cells: terms $x : A \vdash \alpha(x) : \text{Id}_B(f(x), g(x))$;
- ▶ etc...

Similarly, $\mathcal{C}_\omega(\mathbf{T})$: same but with contexts, not just types, as 0-cells.

1-skeleton of this underlies the classifying category $\mathcal{C}(\mathbf{T})$.

Theorem (PLL)

For any \mathbf{T} with Id-types and extensional Π -types, $\mathcal{C}_\omega(\mathbf{T})$ underlies an ω -category, groupoidal in dimensions ≥ 2 .

Take-home points

Three formal devices allow one to isolate the proof-theoretic content. None new, but all could be better-known:

1. Type theories form a category.
2. Contexts are just like types.
3. Conservativity is a lifting property.

Categories of Type Theories

Definition

A *type system* Φ is, informally, a collection of constructors and rules, e.g. “Id-types and extensional Π -types”.

Formally: an essentially algebraic theory extending the theory of contextual categories, with the same sorts.

Given such Φ , write \mathbf{DTT}_{Φ} for the category of type theories given by the constructors of Φ plus possibly further *algebraic* axioms, and translations between such theories preserving the constructors of Φ .

As models of an essentially algebraic theory, each \mathbf{DTT}_{Φ} is locally presentable; in particular, co-complete.

For extension of type systems $\Phi \longrightarrow \Xi$, have evident adjunction

$$\mathbf{DTT}_{\Phi} \begin{array}{c} \xrightarrow{\quad} \\ \perp \\ \xleftarrow{\quad} \end{array} \mathbf{DTT}_{\Xi} .$$

From contexts to types

For many nice type systems Φ , all the constructors/rules lift from types to contexts, so have a functor

$$(-)^{\text{cxt}} : \mathbf{DTT}_{\Phi} \longrightarrow \mathbf{DTT}_{\Phi}$$

where \mathbf{T}^{cxt} is the theory whose types are the contexts of \mathbf{T} .

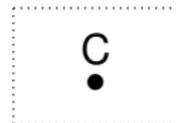
Then $\mathcal{C}_{\omega}(\mathbf{T}) \cong \mathcal{C}_{\omega}^{\text{ty}}(\mathbf{T}^{\text{cxt}})$, so to construct algebraic structure on \mathcal{C}_{ω} , it's enough to construct it on $\mathcal{C}_{\omega}^{\text{ty}}$.

(Typically, $(-)^{\text{cxt}}$ is nearly but not quite a monad: its multiplication “map” fails to preserve constructors on the nose.)

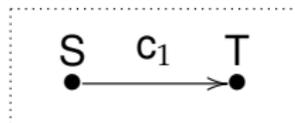
The type-theoretic globes

Fix Φ . Define theories \mathbf{g}_n over Φ by axioms:

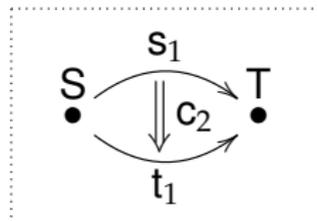
$\mathbf{g}_0 : \quad \vdash \mathbf{C}$ type



$\mathbf{g}_1 : \quad \vdash \mathbf{S}, \mathbf{T}$ type
 $x : \mathbf{S} \vdash \mathbf{c}_1(x) : \mathbf{T}$



$\mathbf{g}_2 : \quad \vdash \mathbf{S}, \mathbf{T}$ type
 $x : \mathbf{S} \vdash \mathbf{s}_1(x), \mathbf{t}_1(x) : \mathbf{T}$
 $x : \mathbf{S} \vdash \mathbf{c}_2(x) : \text{Id}_{\mathbf{T}}(\mathbf{s}_1(x), \mathbf{t}_1(x))$



etc.

These form a *coglobular theory*: $\mathbf{g}_\bullet : \mathbb{G} \longrightarrow \mathbf{DTT}_\Phi$.

In fact, \mathbf{g}_\bullet represents $\mathcal{C}\ell_\omega^{\text{ty}}$: $\mathbf{DTT}_\Phi(\mathbf{g}_n, \mathbf{T}) \cong \mathcal{C}\ell_\omega^{\text{ty}}(\mathbf{T})_n$.

Representability

Induced Kan situation:

$$\begin{array}{ccc} \widehat{\mathbb{G}} & \begin{array}{c} \xleftarrow{\mathcal{C}_\omega^{\text{ty}} := \text{DTT}_\Phi(\mathbf{g}_\bullet, -)} \\ \xrightarrow{\top} \\ \xrightarrow{\mathbf{T}_\Phi[-] := \text{Lan}_y \mathbf{g}_\bullet} \end{array} & \text{DTT}_\Phi \\ \uparrow y & & \nearrow \mathbf{g}_\bullet \\ \mathbb{G} & & \end{array}$$

The left Kan extension $\mathbf{T}_\Phi[-] := \text{Lan}_y \mathbf{g}_\bullet$ gives *logical realisations* of globular sets as theories over Φ .

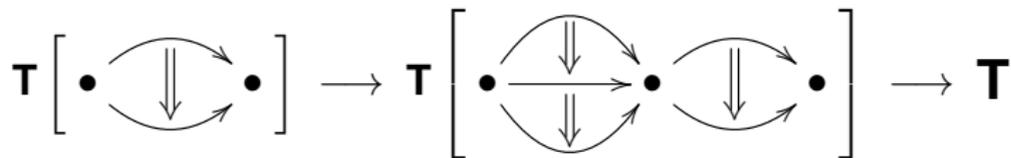
To put a natural ω -category structure on $\mathcal{C}_\omega^{\text{ty}}$, equivalent to put a co- ω -category structure on \mathbf{g}_\bullet .

So: want to find *contractible globular operad* P acting on \mathbf{g}_\bullet ; that is, with a map $P \longrightarrow \text{End}(\mathbf{g}_\bullet)$, implementing elements of P as *composition co-operations* on \mathbf{g}_\bullet .

Composition co-operations

What is a composition co-operation on \mathbf{g}_\bullet for a pasting diagram π , and how does it induce a composition operation for π on $\mathcal{C}_\omega^{\text{ty}}$?

Might first expect: a map $\mathbf{g}_n \longrightarrow \mathbf{T}[\hat{\pi}]$, from the n -globe into the realisation of π , inducing operation by precomposition.



Composition co-operations

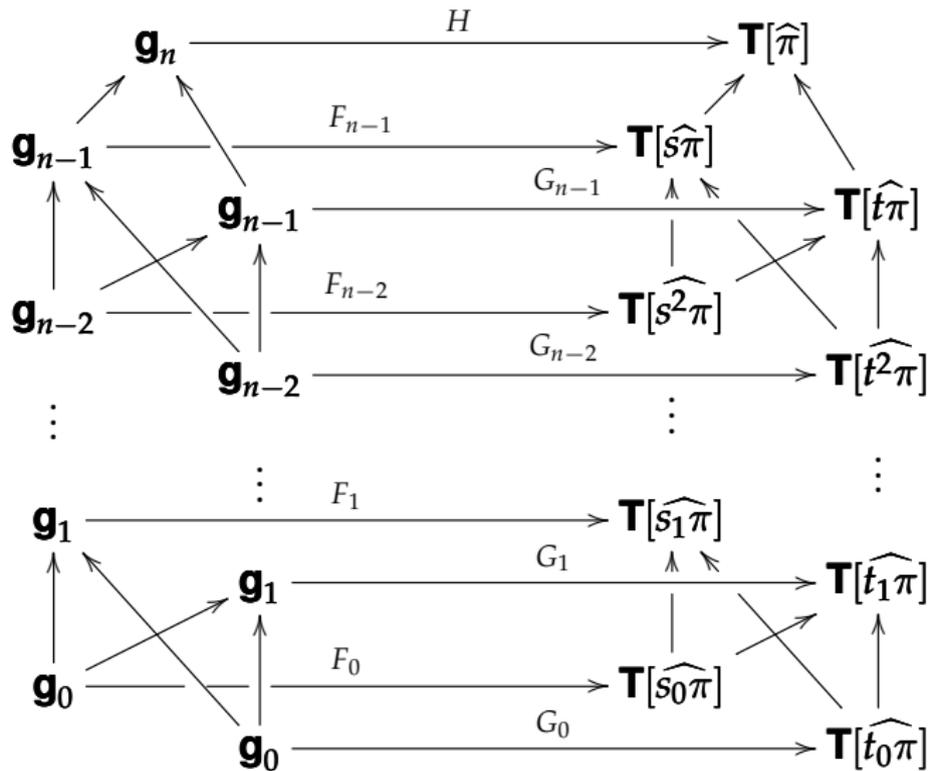
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$$\mathbf{T} \left[\bullet \begin{array}{c} \curvearrowright \\ \Downarrow \\ \curvearrowleft \end{array} \bullet \right] \longrightarrow \mathbf{T} \left[\bullet \begin{array}{c} \curvearrowright \\ \Downarrow \\ \text{---} \\ \Downarrow \\ \curvearrowleft \end{array} \bullet \begin{array}{c} \curvearrowright \\ \Downarrow \\ \curvearrowleft \end{array} \bullet \right] \longrightarrow \mathbf{T}$$

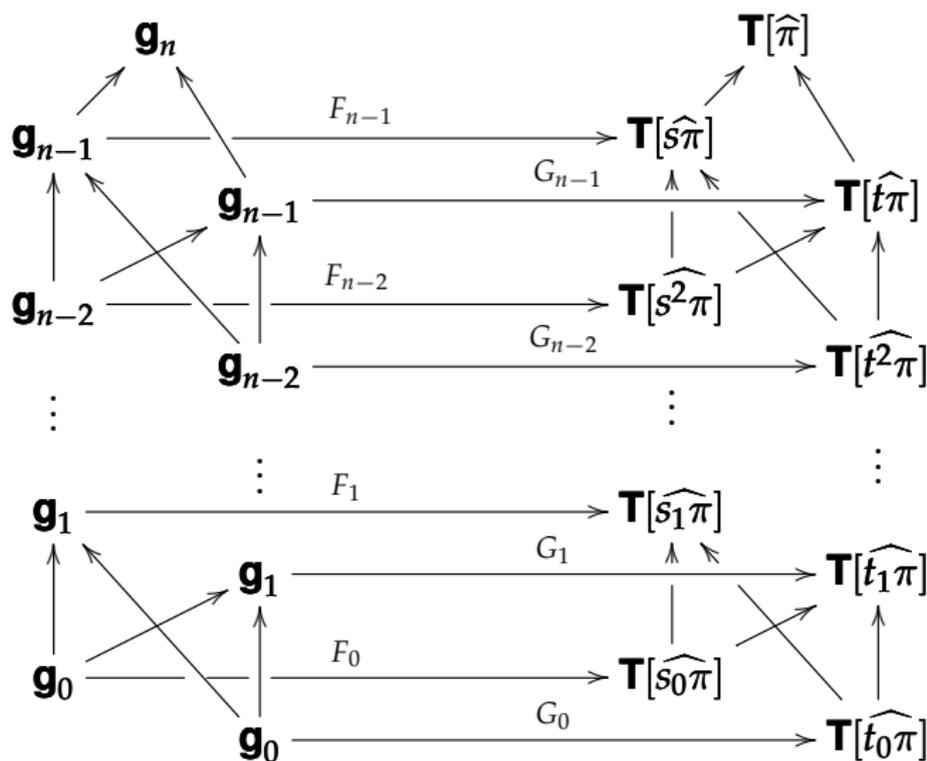
Roughly right... but need also to specify how it acts in lower dimensions.

Composition co-operations



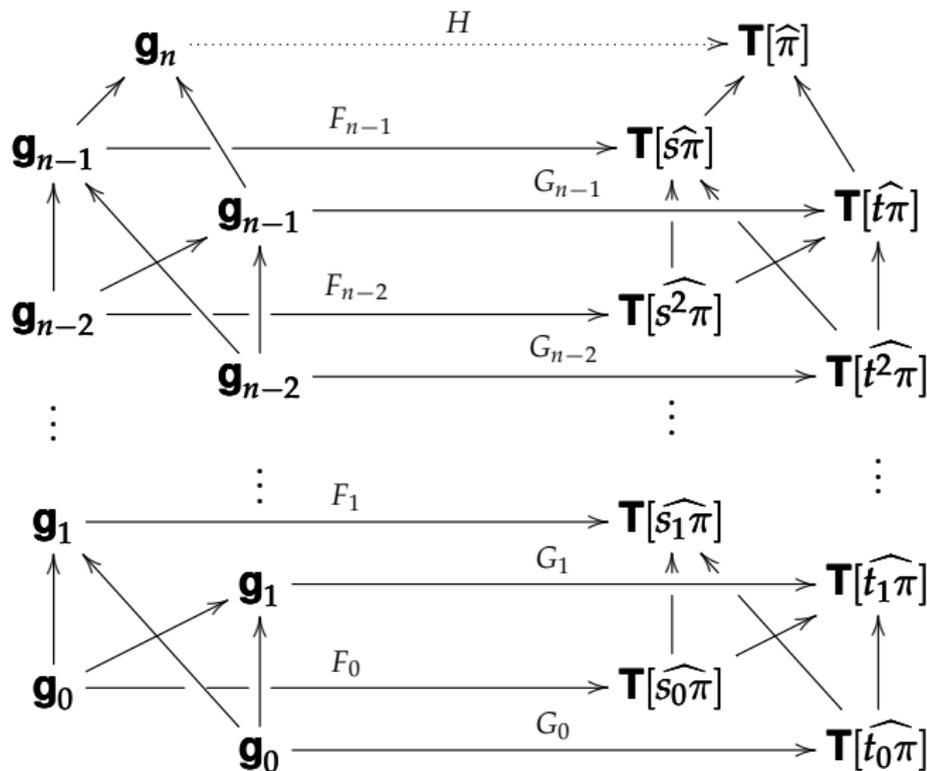
Contractibility for co-operations

Contractibility in $\text{End}(\mathbf{g}_\bullet)$ means always being able to fill apex:



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A contractible sub-operad

Simplifying the picture, need to fill certain ‘triangles’:

$$\begin{array}{ccc} \mathbf{g}_n & \cdots \longrightarrow & \mathbf{T}[\hat{\pi}] \\ \uparrow & & \uparrow \\ \partial \mathbf{g}_n & \longrightarrow & \mathbf{T}[\partial \hat{\pi}] \end{array}$$

“Given co-operations for composing the boundary of π , need to complete to a co-operation for π .”

Let $P \subseteq \text{End}(\mathbf{g}_\bullet)$ be the sub-operad of co-operations which ‘do the obvious thing’ on dimensions ≤ 1 .

Goal

The sub-operad P is contractible.

Contractibility to contractibility

For co-operations in P , the triangle problem above fits into a square-filling problem:

$$\begin{array}{ccc}
 \partial \mathbf{g}_n & \longrightarrow & \mathbf{T}[\hat{\pi}] \\
 \downarrow & \nearrow \text{dotted} & \downarrow \\
 \mathbf{g}_n & \longrightarrow & \mathbf{T}[\widehat{s_1\pi}]
 \end{array}$$

(Here $s_1\pi$ denotes the 1-dimensional source/target of π ; the square commutes by definition of P .)

So contractibility of P reduces to “contractibility” — a right lifting property — for maps of theories

$$\mathbf{T}[\hat{\pi}] \longrightarrow \mathbf{T}[\widehat{s_1\pi}].$$

$$\mathbf{T} \left[\begin{array}{c} \bullet \xrightarrow{\quad} \bullet \xrightarrow{\quad} \bullet \\ \downarrow \quad \downarrow \quad \downarrow \\ \bullet \xrightarrow{\quad} \bullet \xrightarrow{\quad} \bullet \end{array} \right] \longrightarrow \mathbf{T}[\bullet \longrightarrow \bullet \longrightarrow \bullet]$$

Contractibility as conservativity

Concretely, the desired right lifting property

$$\begin{array}{ccc} \partial \mathbf{g}_n & \longrightarrow & \mathbf{T} \\ \downarrow & \nearrow & \downarrow \\ \mathbf{g}_n & \longrightarrow & \mathbf{S} \end{array}$$

is a *conservativity* principle: given some type in \mathbf{T} , inhabited in the extension \mathbf{S} , want to lift this inhabitant to \mathbf{T} .

So, reduced to proof-theoretic crux:

Lemma

If the maps $\mathbf{T}[\hat{\pi}] \longrightarrow \mathbf{T}[\widehat{s_1\pi}]$ are conservative, then P is a contractible sub-operad of $\text{End}(\mathbf{g}_\bullet)$, and hence \mathcal{C}_ω carries a natural ω -category structure.

Main theorem

Corollary

If Φ contains Id-types and extensional Π -types, then these maps are conservative, so \mathcal{C}_ω is naturally an ω -category, as desired.

Conjecture

If Φ consists of just Id-types, these maps are again conservative. Hence, for any Ξ containing at least Id-types, \mathcal{C}_ω carries the desired ω -category structure, via the adjunction $\mathbf{DTT}_{\text{Id}} \overset{\perp}{\rightleftarrows} \mathbf{DTT}_{\Xi}$.

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Take-home points, again:

1. Type theories form a category.
2. Contexts are just like types.
3. Conservativity is a lifting property.

Thank you!

These slides, plus thesis (containing details omitted here),
available from:

<http://www.mathstat.dal.ca/~p.l.lumsdaine>

